

Spectral properties of Bose gases interacting through singular potentials

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based on joint works with Chiara Boccato, Christian Brennecke and Benjamin Schlein

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The dilute Bose gas in 3d

We consider N bosons enclosed in a cubic box Λ of side length L, described by

 $H_N = -\sum_{j=1}^N \Delta_{x_j} + \sum_{1 \le i < j \le N} V(x_i - x_j), \quad \rho a^3 \ll 1$

Long-standing goals: for $\textit{N} \rightarrow \infty$ and $\rho = \textit{N}/|\Lambda|$ fixed

- prove the occurrence of condensation
- ground state energy and the low lying excitation spectrum
- Expected: Bogoliubov theory, Lee-Huang-Yang formula

$$\lim_{\substack{N \to \infty \\ \rho = \text{const.}}} \frac{E_N}{N} = 4\pi \rho a \Big[1 + \frac{128}{15\pi} (\rho a^3)^{1/2} + o(\rho a^3)^{1/2} \Big]$$

Results: condensation: hard-core bosons at half filling [Dyson-Lieb-Simon, '78] ground state energy at leading order [Dyson'57], [Lieb-Yngvason, '98] LHY for high density regime [Giuliani-Seiringer '09] 2nd order upper bound [Erdös-Schlein-Yau, '08], [Yau-Yin, '13] RG [Benfatto '94], [Balaban-Feldman-Knörrer-Trubowitz '08-'16]

Open: condensation? 2nd order in other regimes? spectrum?

Mean field regime and Bogoliubov theory

N bosons in $\Lambda = [0; 1]^{\times 3}$, periodic boundary conditions, mean field regime

$$H_N^{mf} = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{1}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r) a_{p+r}^* a_q^* a_p a_{q+r} \qquad \Lambda_* = 2\pi \mathbb{Z}^3$$

Bogoliubov approximation has been proved to be valid [Seiringer '11]

- Condensation with rate of convergence: $1 \langle \varphi_0, \gamma_N^{(1)} \varphi_0 \rangle \leq C N^{-1}$
- Ground state energy at second order

 $E_N^{mf} = rac{(N-1)\widehat{V}(0)}{2} - rac{1}{2}\sum_{p \in \Lambda_+^*} \left[p^2 + \kappa \widehat{V}(p) - \sqrt{|p|^4 + 2\kappa |p|^2 \widehat{V}(p)} \right] + o(1)$

Bogoliubov spectrum of elementary excitations

$$\sum_{p\in\Lambda^*_+} n_p \sqrt{|p|^4 + 2\kappa p^2 \widehat{V}(p)} + o(1), \quad n_p\in\mathbb{N}$$

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Further results: [Grech-Seiringer '13], [Lewin-Nam-Serfaty-Solovey '14], [Derezinski-Napiorkovski '14], [Pizzo '15]

The Gross-Pitaevskii regime

Strong and short range interactions among atoms in BEC experiments can be modelled by the **Gross-Pitaevskii potential**:

$$\mathcal{H}_{N}^{GP} = \sum_{i=1}^{N} \left(-\Delta_{x_i} + V_{ext}(x_j)
ight) + \sum_{i < j}^{N} N^2 V ig(N(x_i - x_j) ig)$$

- If V(x) has scattering length a₀, then N²V(Nx) has scattering length a = a₀/N
- dilute regime $\rho a^3 = N^{-2}$
- correlations among the particles play a crucial role to understand both statical and dynamical properties of the system

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Gross-Pitaevskii regime: ground state properties

N bosons in $\Lambda = [0; 1]^{\times 3}$, periodic boundary conditions

$$H_{N}^{GP} = \sum_{p \in \Lambda^{*}} p^{2} a_{p}^{*} a_{p} + \frac{1}{2N} \sum_{p,q,r \in \Lambda^{*}} \widehat{V}(r/N) a_{p+r}^{*} a_{q-r}^{*} a_{p} a_{q}$$

From [Lieb-Seiringer-Yngvason, '00] the ground state energy of H_N^{GP} at leading order is

$$E_N = 4\pi a_0 N + o(N)$$

From [Lieb-Seiringer, '02] the one particle reduced density $\gamma_N^{(1)}$ associated to the ground state of H_N^{GP} is such that in trace norm

$$\gamma_N^{(1)} \xrightarrow[N \to \infty]{} |\varphi_0\rangle\langle\varphi_0|$$

where $\varphi_0(x) = 1$ for all $x \in \Lambda$.

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From [Lieb-Seiringer-Yngvason, '00] the ground state energy of H_N^{GP} at leading order is

$$E_N = 4\pi a_0 N + o(N) \qquad 8\pi a_0 = \int \mathrm{d}x f(x) V(x)$$

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Gross-Pitaevskii regime: ground state properties

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 Next order?
Low energy states?

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$$\gamma_N^{(1)} \xrightarrow[N \to \infty]{} |\varphi_0\rangle\langle\varphi_0|$$
 Strong BEC bounds?

where $\varphi_0(x) = 1$ for all $x \in \Lambda$.

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Condensation in the Gross-Pitaevskii regime

N bosons in $\Lambda = [0; 1]^{\times 3}$, periodic boundary conditions

$$H_N^{GP} = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N) a_{p+r}^* a_{q-r}^* a_p a_q$$

[Boccato-Brennecke-C.-Schlein '17] Let $V \in L^3(\mathbb{R}^3)$ be non-negative, spherically symmetric and compactly supported and suppose $\kappa \ge 0$ to be small enough. Let $\psi_N \in L^2_s(\Lambda^N)$ be a sequence with $\|\psi_N\| = 1$ and

 $\langle \psi_{\mathsf{N}}, \mathsf{H}_{\mathsf{N}}\psi_{\mathsf{N}} \rangle \leq 4\pi a_0 \mathsf{N} + \mathsf{K}$

for some K > 0. Let $\gamma_N^{(1)}$ the one-particle reduced density associated with ψ_N . Then there exists C > 0 such that

$$N(1 - \left\langle arphi_0, \gamma_N^{(1)} arphi_0
ight
angle) \leq C(K+1)$$

with $\varphi_0(x) = 1$ for all $x \in \Lambda$.

Remark. The theorem applies to the g.s. & all states with excitation energy $\ll N$

Bose gases and Bogoliubov theory The Gross-Pitaevskii regime **Results**

Spectral properties for singular potentials

$$H_N^\beta = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N^\beta) a_{p+r}^* a_{q-r}^* a_p a_q \qquad \beta \in (0;1)$$

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$$H_N^\beta = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N^\beta) a_{p+r}^* a_{q-r}^* a_p a_q \qquad \beta \in (0;1)$$

[Boccato-Brennecke-C.-Schlein '17] Let $0 < \beta < 1$ and suppose $\kappa > 0$ small enough. The ground state energy of $H_N^{(\beta)}$ is

$$\begin{split} E_{N}^{\beta} &= 4\pi a_{N}^{\beta}(N-1) - \frac{1}{2} \sum_{p \in \Lambda_{+}^{*}} \left[p^{2} + \kappa \widehat{V}(0) - \sqrt{|p|^{4} + 2\kappa p^{2} \widehat{V}(0)} - \frac{\kappa^{2} \widehat{V}^{2}(0)}{2p^{2}} \right] + o(1) \\ \text{where } \Lambda_{+}^{*} &= 2\pi \mathbb{Z}^{3} \setminus \{0\} \text{ and} \\ & 8\pi a_{N}^{\beta} \end{split}$$

with $m_{\beta} \in \mathbb{N}$ the largest integer with $m_{\beta} \leq 1/(1-\beta) + \min(1/2, \beta/(1-\beta))$.

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$$\begin{split} E_{N}^{\beta} &= 4\pi a_{N}^{\beta}(N-1) - \frac{1}{2} \sum_{\rho \in \Lambda_{+}^{*}} \left[\rho^{2} + \kappa \widehat{V}(0) - \sqrt{|\rho|^{4} + 2\kappa \rho^{2} \widehat{V}(0)} - \frac{\kappa^{2} \widehat{V}^{2}(0)}{2\rho^{2}} \right] + o(1) \\ \text{where } \Lambda_{+}^{*} &= 2\pi \mathbb{Z}^{3} \setminus \{0\} \text{ and} \\ 8\pi a_{N}^{\beta} &= k \widehat{V}(0) - \frac{1}{2N} \sum_{\rho \in \Lambda_{+}^{*}} \frac{\kappa^{2} \widehat{V}^{2}(\rho/N^{\beta})}{2\rho^{2}} \\ &+ \sum_{m=2}^{m_{\beta}} \frac{(-1)^{m} \kappa^{m}}{(2N)^{m}} \sum_{\rho_{1}, \dots, \rho_{m} \in \Lambda_{+}^{*}} \frac{\widehat{V}(\rho_{1}/N^{\beta})}{\rho_{1}^{2}} \Big[\prod_{j=1}^{m-1} \frac{\widehat{V}((\rho_{j} - \rho_{j+1})/N^{\beta}}{\rho_{j+1}^{2}} \Big] \widehat{V}(\rho_{m}/N^{\beta}) \end{split}$$

with $m_{eta} \in \mathbb{N}$ the largest integer with $m_{eta} \leq 1/(1-eta) + \min(1/2,eta/(1-eta)).$

Bose gases and Bogoliubov theory The Gross-Pitaevskii regime Results

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Bose gases and Bogoliubov theory The Gross-Pitaevskii regime Results

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$$\begin{split} E_{N}^{\beta} &= 4\pi a_{N}^{\beta}(N-1) - \frac{1}{2} \sum_{p \in \Lambda_{+}^{*}} \left[p^{2} + \kappa \widehat{V}(0) - \sqrt{|p|^{4} + 2\kappa p^{2} \widehat{V}(0)} - \frac{\kappa^{2} \widehat{V}^{2}(0)}{2p^{2}} \right] + o(1) \\ \text{where } \Lambda_{+}^{*} &= 2\pi \mathbb{Z}^{3} \setminus \{0\} \text{ and} \\ 8\pi a_{N}^{\beta} &= k \widehat{V}(0) - \frac{1}{2N} \sum_{p \in \Lambda_{+}^{*}} \frac{\kappa^{2} \widehat{V}^{2}(p/N^{\beta})}{2p^{2}} \\ & \kappa \widehat{V}(0) - \sum_{p \in \Lambda_{+}^{*}} \kappa \widehat{V}(p/N^{\beta}) \widehat{\omega}_{N}(p) \\ & + \sum_{m=2}^{m_{\beta}} \frac{(-1)^{m} \kappa^{m}}{(2N)^{m}} \sum_{p_{1}, \dots, p_{m} \in \Lambda_{+}^{*}} \frac{\widehat{V}(p_{1}/N^{\beta})}{p_{1}^{2}} \Big[\prod_{j=1}^{m-1} \frac{\widehat{V}((p_{j} - p_{j+1})/N^{\beta}}{p_{j+1}^{2}} \Big] \widehat{V}(p_{m}/N^{\beta}) \end{split}$$

with $m_{\beta} \in \mathbb{N}$ the largest integer with $m_{\beta} \leq 1/(1-\beta) + \min(1/2, \beta/(1-\beta))$. Moreover, the spectrum of $H_N^{(\beta)} - E_N^{\beta}$ below ζ consists of

$$\sum_{p\in\Lambda^*_+} n_p \sqrt{|p|^4 + 2\kappa p^2 \widehat{V}(0)} + o(1), \quad \text{with } n_p \in \mathbb{N}$$

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Orthogonal excitations [Lewin-Nam-Serfaty-Solovej '12]

$$H_N^\beta = \sum_{\rho \in \Lambda^*} p^2 a_\rho^* a_\rho + \frac{\kappa}{2N} \sum_{\rho,q,r \in \Lambda^*} \widehat{V}(r/N^\beta) a_{\rho+r}^* a_{q-r}^* a_\rho a_q \quad \beta \in [0,1]$$

For $\psi_N \in L^2_s(\Lambda^N)$ and $\varphi_0 \in L^2(\Lambda)$ $\psi_N = \alpha_0 \varphi_0^{\otimes N} + \alpha_1 \otimes_s \varphi_0^{\otimes N-1} + \ldots + \alpha_{N-1} \otimes_s \varphi_0 + \alpha_N$,

where $\alpha_j \in L^2(\Lambda)^{\otimes_s j}$ and $\alpha_j \perp \varphi_0$.

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Unitary map $U_{\varphi_0}: L^2_s(\Lambda^N) \longrightarrow \mathcal{F}_{\perp \varphi_0}^{\leq N} = \bigoplus_{n=0}^N L^2_{\perp \varphi_0}(\Lambda)^{\otimes_s n}$

$$\psi_{N} \longrightarrow U_{\varphi_{0}}\psi_{N} = \{\alpha_{0}, \alpha_{1}, \ldots, \alpha_{N}, 0, 0, \ldots\}$$

The map U_{φ_0} factors out the condensate described by the one particle orbital φ_0 and return all fluctuations that are orthogonal to it.

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Orthogonal excitations [Lewin-Nam-Serfaty-Solovej '12]

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In the homogeneous case $\varphi_0(x) = 1$ for all $x \in \Lambda$, hence $U : L^2_s(\mathbb{R}^{3N}) \to \mathcal{F}^{\leq N}_+$

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For
$$\psi_N \in L^2_s(\Lambda^N)$$
 and $\varphi_0 \in L^2(\Lambda)$
 $\psi_N = \alpha_0 \varphi_0^{\otimes N} + \alpha_1 \otimes_s \varphi_0^{\otimes N-1} + \ldots + \alpha_{N-1} \otimes_s \varphi_0 + \alpha_N$,
where $\alpha_j \in L^2(\Lambda)^{\otimes_{sj}}$ and $\alpha_j \perp \varphi_0$.
Unitary map $U_{\varphi_0} : L^2_s(\Lambda^N) \longrightarrow \mathcal{F}_{\perp\varphi_0}^{\leq N} = \bigoplus_{n=0}^N L^2_{\perp\varphi_0}(\Lambda)^{\otimes_s n} \qquad \mathcal{N}_+ = \sum a_p^* a_p$

$$\psi_N \longrightarrow U_{\varphi_0} \psi_N = \{\alpha_0, \alpha_1, \ldots, \alpha_N, 0, 0, \ldots\}$$

The map U_{φ_0} factors out the condensate described by the one particle orbital φ_0 and return all fluctuations that are orthogonal to it.

In the homogeneous case $\varphi_0(x) = 1$ for all $x \in \Lambda$, hence $U : L^2_s(\mathbb{R}^{3N}) \to \mathcal{F}^{\leq N}_+$

 $p \in \Lambda^*$

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The excitation Hamiltonian

Conjugation with U remind c-number substitution in Bogoliubov approximation

$$U a_0^* a_0 U^* = N - \mathcal{N}_+ \qquad U a_0^* a_p U^* = \sqrt{N - \mathcal{N}_+ a_p} U a_p^* a_q U^* = a_p^* a_q \qquad U a_p^* a_0 U^* = a_p^* \sqrt{N - \mathcal{N}_+}$$

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We define
$$\mathcal{L}_{N}^{\beta} = UH_{N}^{\beta}U^{*}: \mathcal{F}_{+}^{\leq N} \rightarrow \mathcal{F}_{+}^{\leq N}$$

 $\mathcal{L}_{N}^{\beta} = \frac{N-1}{2N}\kappa\widehat{V}(0)(N-\mathcal{N}_{+}) + \frac{\kappa\widehat{V}(0)}{2N}\mathcal{N}_{+}(N-\mathcal{N}_{+}) + \sum_{p\in\Lambda_{+}^{*}}p^{2}a_{p}^{*}a_{p}$
 $+ \sum_{p\in\Lambda_{+}^{*}}\kappa\widehat{V}(p/N^{\beta})\left[b_{p}^{*}b_{p} - \frac{1}{N}a_{p}^{*}a_{p}\right] + \frac{\kappa}{2}\sum_{p\in\Lambda_{+}^{*}}\widehat{V}(p/N^{\beta})\left[b_{p}^{*}b_{-p}^{*} + b_{p}b_{-p}\right]$
 $+ \frac{\kappa}{\sqrt{N}}\sum_{p,q\in\Lambda_{+}^{*}: p+q\neq 0}\widehat{V}(p/N^{\beta})\left[b_{p+q}^{*}a_{-p}^{*}a_{q} + a_{q}^{*}a_{-p}b_{p+q}\right]$
 $+ \frac{\kappa}{2N}\sum_{p,q\in\Lambda_{+}^{*}: r\neq-p, -q}\widehat{V}(r/N^{\beta})a_{p+r}^{*}a_{q}^{*}a_{p}a_{q+r}$

The excitation Hamiltonian

Conjugation with U remind c-number substitution in Bogoliubov approximation

$$U a_0^* a_0 U^* = N - N_+ \qquad U a_0^* a_p U^* = \sqrt{N - N_+} a_p$$
$$U a_p^* a_q U^* = a_p^* a_q \qquad U a_p^* a_0 U^* = a_p^* \sqrt{N - N_+}$$

We define
$$\mathcal{L}_{N}^{\beta} = UH_{N}^{\beta}U^{*}: \mathcal{F}_{+}^{\leq N} \rightarrow \mathcal{F}_{+}^{\leq N}$$

 $\mathcal{L}_{N}^{\beta} = \frac{N-1}{2N}\kappa\widehat{V}(0)(N-\mathcal{N}_{+}) + \frac{\kappa\widehat{V}(0)}{2N}\mathcal{N}_{+}(N-\mathcal{N}_{+}) + \sum_{p\in\Lambda_{+}^{*}}p^{2}a_{p}^{*}a_{p}$
 $+ \sum_{p\in\Lambda_{+}^{*}}\kappa\widehat{V}(p/N^{\beta})\left[b_{p}^{*}b_{p} - \frac{1}{N}a_{p}^{*}a_{p}\right] + \frac{\kappa}{2}\sum_{p\in\Lambda_{+}^{*}}\widehat{V}(p/N^{\beta})\left[b_{p}^{*}b_{-p}^{*} + b_{p}b_{-p}\right]$
 $+ \frac{\kappa}{\sqrt{N}}\sum_{p,q\in\Lambda_{+}^{*}:r\neq q\neq 0}\widehat{V}(p/N^{\beta})\left[b_{p+q}^{*}a_{-p}^{*}a_{q} + a_{q}^{*}a_{-p}b_{p+q}\right]$
 $+ \frac{\kappa}{2N}\sum_{p,q\in\Lambda_{+}^{*}:r\neq-p,-q}\widehat{V}(r/N^{\beta})a_{p+r}^{*}a_{q}^{*}a_{p}a_{q+r}$

Remark. In the mean-field regime the expected value of cubic and quartic terms vanishes, as $N \to \infty$ when we consider low energy states.

Correlation structure

$$H_{N}^{\beta} = \sum_{p \in \Lambda^{*}} p^{2} a_{p}^{*} a_{p} + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^{*}} \widehat{V}(r/N^{\beta}) a_{p+r}^{*} a_{q-r}^{*} a_{p} a_{q}$$

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For $\beta>0$ some of the quartic terms in $\mathcal{L}_N^\beta=U\,H_N^\beta\,U^*$ are now important in the limit $N\to\infty$

Not surprising: the difference between the energy of a factorized state and the real ground state energy is of the order N^{β}

$$\langle \Omega, \mathcal{L}_{N}^{\beta} \Omega \rangle = \langle U^{*} \Omega, H_{N}^{\beta} U \Omega \rangle = \langle \varphi_{0}^{\otimes N} H_{N}^{\beta} \varphi_{0}^{\otimes N} \rangle = \frac{(N-1)\widehat{V}(0)}{2} \gg 4\pi a_{N}^{\beta} N$$

Correlation structure

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$$\langle \Omega, \mathcal{L}_{N}^{\beta} \Omega \rangle = \langle U^{*} \Omega, H_{N}^{\beta} U \Omega \rangle = \langle \varphi_{0}^{\otimes N} H_{N}^{\beta} \varphi_{0}^{\otimes N} \rangle = \frac{(N-1)\widehat{V}(0)}{2} \gg 4\pi a_{N}^{\beta} N$$

States with small energy in the Gross-Pitaevskii limit and in the intermediate regimes $0 < \beta < 1$ are characterized by a correlation structure, which we model by the solution of the Neumann problem

$$\left(-\Delta+\frac{k}{2}N^{3\beta-1}V(N^{\beta}x)\right)f_{N,\ell}(x)=\lambda_{N,\ell}f_{N,\ell}(x)$$

on the ball $|x| \leq \ell < 1/2$, with

$$f_{N,\ell}(x) = 1$$
 and $\partial_{|x|} f_{N,\ell}(x) = 0$ for $|x| = \ell$

Generalized Bogoliubov transformation

Inspired by the dynamics [Brennecke-Schlein '17] we describe correlations in $\mathcal{F}_+^{\leq N}$ using

$$T = \exp\left[\frac{1}{2}\sum_{p \in \Lambda_+^*} \eta_p \left(b_p^* b_{-p}^* - b_p b_{-p}\right)\right] : \mathcal{F}_+^{\leq N} \to \mathcal{F}_+^{\leq N}$$

with $\eta_p = -N\left(\widehat{1 - f_{N,\ell}}\right)(p) \qquad \left(\eta_p \simeq \frac{\kappa}{p^2}, \sum_{p \in \Lambda_+^*} p^2 |\eta_p|^2 \simeq \kappa^2 N^\beta\right)$

and modified creation and annihilation operators

$$b_{
ho}^* = a_{
ho}^* \sqrt{rac{N-\mathcal{N}}{N}}\,, \qquad \quad b_{
ho} = \sqrt{rac{N-\mathcal{N}}{N}}\,a_{
ho}$$

Remark. We can interpret b_p^* as an operator exciting a particle from the condensate to its orthogonal complement while b_p annihilates an excitation back into the condensate:

$$U^* b_p^* U = a_p^* rac{a_0}{\sqrt{N}} \qquad U^* b_p U = rac{a_0}{\sqrt{N}} a_p$$

Define $\mathcal{G}_N = T^* U H_N U^* T : \mathcal{F}_+^{\leq N} \to \mathcal{F}_+^{\leq N}$, then

$$\mathcal{G}_N = 4\pi a_0 N + \mathcal{H}_N + \mathcal{E}_N$$

where

$$\mathcal{H}_{N} = \sum_{p \in \Lambda^{*}_{+}} p^{2} a^{*}_{p} a_{p} + \frac{1}{2N} \sum_{\substack{p,q \in \Lambda^{*}_{+} \\ r \in \Lambda^{*}: r \neq -p-q}} \widehat{V}(r/N) a^{*}_{p+r} a^{*}_{q} a_{p} a_{q+r}$$

and \mathcal{E}_N such that for every $\delta > 0$, there exists C > 0 such that

 $\pm \mathcal{E}_N \leq \delta \mathcal{H}_N + C \kappa (\mathcal{N} + 1)$

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Hence, for sufficiently small $\kappa > 0$

$$\frac{1}{2}\mathcal{H}_N - C \leq \mathcal{G}_N - 4\pi a_0 N \leq C(\mathcal{H}_N + 1)$$

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Hence, for sufficiently small $\kappa > 0$

$$c\mathcal{N}_{+} - C \leq \frac{1}{2}\mathcal{H}_{N} - C \leq \mathcal{G}_{N} - 4\pi a_{0}N \leq C(\mathcal{H}_{N} + 1)$$

Lower bound: states with small excitation energy have a small expectation for the \mathcal{N}_+ operator and therefore they exhibit complete condensation.

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Introduction & Main Results Strategy of the proof Perspectives Ground state energy and spectrum

Proof of condensation

$$\mathcal{G}_N = T^* U H_N U^* T$$

Consider $\psi_N \in L^2_s(\Lambda^N)$: $\langle \psi_N, H_N \psi_N \rangle \leq 4\pi a_0 N + K$

$c\mathcal{N}_{+} - C \leq \frac{1}{2}\mathcal{H}_{N} - C \leq \mathcal{G}_{N} - 4\pi a_{0}N \leq C(\mathcal{H}_{N} + 1)$

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Let $\xi_N = T^* U \psi_N$ the excitation vector associated to ψ_N

 $\langle \xi_N, (\mathcal{G}_N - 4\pi a_0 N) \xi_N \rangle$

 $c\mathcal{N}_{+} - C \leq \frac{1}{2}\mathcal{H}_{N} - C \leq \mathcal{G}_{N} - 4\pi a_{0}N \leq C(\mathcal{H}_{N} + 1)$

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$$\langle \xi_N, (\mathcal{G}_N - 4\pi a_0 N) \xi_N \rangle \stackrel{\text{def}}{=} \langle \psi_N, (H_N - 4\pi a_0 N) \psi_N \rangle \stackrel{HP}{\leq} K (*)$$

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$$c\mathcal{N}_{+} - C \leq \frac{1}{2}\mathcal{H}_{N} - C \leq \mathcal{G}_{N} - 4\pi a_{0}N \leq C(\mathcal{H}_{N} + 1)$$

$$\mathcal{G}_N = T^* U H_N U^* T$$

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$$\left\langle \xi_{N}, c\mathcal{N}_{+} - C \xi_{N} \right\rangle \leq \left\langle \xi_{N}, \left(\mathcal{G}_{N} - 4\pi a_{0} N\right) \xi_{N} \right\rangle \stackrel{\text{def}}{=} \left\langle \psi_{N}, \left(H_{N} - 4\pi a_{0} N\right) \psi_{N} \right\rangle \stackrel{HP}{\leq} K \quad (*)$$

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$$\left\langle \xi_{N}, c\mathcal{N}_{+} - C \, \xi_{N} \right\rangle \leq \left\langle \xi_{N}, \left(\mathcal{G}_{N} - 4\pi a_{0} N \right) \xi_{N} \right\rangle \stackrel{\text{def}}{=} \left\langle \psi_{N}, \left(\mathcal{H}_{N} - 4\pi a_{0} N \right) \psi_{N} \right\rangle \stackrel{HP}{\leq} K \quad (*)$$

Since

$$N(1 - \langle \varphi_0, \gamma_N^{(1)} \varphi_0 \rangle) = N - \langle \psi_N, a_0^* a_0 \psi_N \rangle \stackrel{\text{action of } U}{=} \langle \psi_N, U^* \, \mathcal{N}_+ U \psi_N \rangle$$

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and

$$\langle \psi_{N}, U^{*} \mathcal{N}_{+} U \psi_{N} \rangle \stackrel{\psi_{N}=U^{*} \mathcal{T} \xi_{N}}{=} \langle \xi_{N}, \mathcal{T}^{*} \mathcal{N}_{+} \mathcal{T} \xi_{N} \rangle \leq C \langle \xi_{N}, (\mathcal{N}_{+}+1) \xi_{N} \rangle \stackrel{(*)}{\leq} C(K+1)$$

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and

$$\langle \psi_{\mathsf{N}}, \mathsf{U}^* \, \mathcal{N}_+ \, \mathsf{U} \psi_{\mathsf{N}} \rangle \stackrel{\psi_{\mathsf{N}} = \mathsf{U}^* \, \mathsf{T} \xi_{\mathsf{N}}}{=} \langle \xi_{\mathsf{N}}, \mathsf{T}^* \, \mathcal{N}_+ \, \mathsf{T} \xi_{\mathsf{N}} \rangle \leq C \langle \xi_{\mathsf{N}}, (\mathcal{N}_+ + 1) \xi_{\mathsf{N}} \rangle \stackrel{(*)}{\leq} C(\mathsf{K} + 1)$$

we obtain

$$Nig(1-ig\langle arphi_0, \gamma_{N}^{(1)}arphi_0ig
angleig) \leq C(K+1)$$

Condensation in the ground state

For any $\xi_N \in \mathcal{F}_+^{\leq N}$ we can consider $\psi_N = U^* T \xi_N \in L^2(\mathbb{R}^{3N})$. We pick $\Omega = \{1, 0, 0,\} \in \mathcal{F}_+^{\leq N}$. Hence

 $E_{gs} = \left\langle \psi_{gs}, H_N \psi_{gs} \right\rangle \le \left\langle U^* T\Omega, H_N U^* T\Omega \right\rangle$

$$c\mathcal{N}_{+}-C\leq rac{1}{2}\mathcal{H}_{N}-C\leq \mathcal{G}_{N}-4\pi a_{0}N\leq C(\mathcal{H}_{N}+1)$$

Excitations and Fock space Excitation Hamiltonian and condensation Ground state energy and spectrum

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Condensation in the ground state

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$$c\mathcal{N}_+ - C \leq \frac{1}{2}\mathcal{H}_N - C \leq \mathcal{G}_N - 4\pi a_0 N \leq C(\mathcal{H}_N + 1)$$

Excitation Hamiltonian and condensation

Condensation in the ground state

For any $\xi_N \in \mathcal{F}_{\perp}^{\leq N}$ we can consider $\psi_N = U^* T \xi_N \in L^2(\mathbb{R}^{3N})$. We pick $\Omega = \{1, 0, 0,\} \in \mathcal{F}_{\perp}^{\leq N}$. Hence $E_{gs} = \langle \psi_{gs}, H_N \psi_{gs} \rangle \leq \langle U^* T \Omega, H_N U^* T \Omega \rangle$ $= \left\langle \Omega, \underbrace{\left(\underline{T^* U H_N U^* T} \right)}_{\mathcal{G}_N} \Omega \right\rangle$ $\leq 4\pi a_0 N + C \langle \Omega, (\mathcal{K} + \mathcal{V}_N + 1) \Omega \rangle$

$$c\mathcal{N}_+ - C \leq \frac{1}{2}\mathcal{H}_N - C \leq \mathcal{G}_N - 4\pi a_0 N \leq C(\mathcal{H}_N + 1)$$

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Excitation Hamiltonian and condensation

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Condensation in the ground state

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$$c\mathcal{N}_{+}-C\leq \frac{1}{2}\mathcal{H}_{N}-C\leq \mathcal{G}_{N}-4\pi a_{0}N\leq C(\mathcal{H}_{N}+1)$$

Excitation Hamiltonian and condensation

Condensation in the ground state

For any $\xi_N \in \mathcal{F}_{\perp}^{\leq N}$ we can consider $\psi_N = U^* T \xi_N \in L^2(\mathbb{R}^{3N})$. We pick $\Omega = \{1, 0, 0, \dots\} \in \mathcal{F}_{\perp}^{\leq N}$. Hence $E_{gs} = \langle \psi_{gs}, H_N \psi_{gs} \rangle \leq \langle U^* T \Omega, H_N U^* T \Omega \rangle$ $= \left\langle \Omega, \underbrace{\left(\underbrace{T^* U H_N U^* T}_{\mathcal{G}_N} \right)}_{\mathcal{G}_N} \Omega \right\rangle$ $\leq 4\pi a_0 N + C \langle \Omega, (\mathcal{K} + \mathcal{V}_N + 1) \Omega \rangle$ $< 4\pi a_0 N + C$

Hence the one-particle reduced density $\gamma_{\rm \tiny N}^{(1)}$ associated with $\psi_{\rm gs}$ is such that

$$1 - \left\langle arphi_{\mathsf{0}}, \gamma_{\mathsf{N}}^{(1)} arphi_{\mathsf{0}}
ight
angle \leq rac{\mathsf{C}}{\mathsf{N}}$$

$$c\mathcal{N}_+ - C \leq \frac{1}{2}\mathcal{H}_N - C \leq \mathcal{G}_N - 4\pi a_0 N \leq C(\mathcal{H}_N + 1)$$

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Ground state energy and spectrum for $\beta < 1$

Let $H_N^{\beta} = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N^{\beta}) a_{p+r}^* a_{q-r}^* a_p a_q$ and consider $\mathcal{G}_N^{\beta} = T^* U H_N^{\beta} U^* T : \mathcal{F}_+^{\leq N} \to \mathcal{F}_+^{\leq N}$

Then $\mathcal{G}_{N}^{\beta} = 4\pi a_{N}^{\beta} N + \mathcal{H}_{N}^{\beta} + \mathcal{E}_{N}^{\beta}$ with $\pm \mathcal{E}_{N}^{\beta} \le \delta \mathcal{H}_{N}^{\beta} + C\kappa(\mathcal{N}_{+} + 1)$

Ground state energy and spectrum for $\beta < 1$

Let
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 and consider
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Step 1. Low energy states can be written as $\psi_N = U^* T \xi_N$ with

 $\langle \xi_N, \mathcal{N}_+ \xi_N \rangle \leq C$

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Step 1. Low energy states can be written as $\psi_N = U^* T \xi_N$ with

 $\langle \xi_N, \mathcal{N}_+ \xi_N \rangle \leq C$

Step 2. Excitations associated to low energy states also satisfy $\langle \xi_N, (N_+ + 1)(\mathcal{H}_N^\beta + 1)\xi_N \rangle \leq C$

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Ground state energy and spectrum for $\beta < 1$

Let
$$H_N^{\beta} = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N^{\beta}) a_{p+r}^* a_{q-r}^* a_p a_q$$
 and consider
 $\mathcal{G}_N^{\beta} = T^* U H_N^{\beta} U^* T : \mathcal{F}_+^{\leq N} \to \mathcal{F}_+^{\leq N}$

Then $\mathcal{G}_{N}^{\beta} = 4\pi a_{N}^{\beta} N + \mathcal{H}_{N}^{\beta} + \mathcal{E}_{N}^{\beta}$ with $\pm \mathcal{E}_{N}^{\beta} \le \delta \mathcal{H}_{N}^{\beta} + C\kappa(\mathcal{N}_{+} + 1)$

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Step 2. Excitations associated to low energy states also satisfy $\langle \xi_N, (N_+ + 1)(\mathcal{H}_N^\beta + 1)\xi_N \rangle \leq C$

Step 3. Using 2. one can show that for $\beta < 1$ all terms in \mathcal{G}_N^{β} that are not constant or quadratic vanishes on low energy states as $N \to \infty$.

 $\mathcal{G}_{N}^{eta} = \mathcal{C}_{N}^{eta} + \mathcal{Q}_{N}^{eta} + \delta_{N}^{eta} \hspace{0.5cm} ext{with} \hspace{0.5cm} \pm \delta_{N}^{eta} \leq \mathcal{CN}^{-lpha}(\mathcal{N}_{+}+1)(\mathcal{H}_{N}^{eta}+1)$

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Ground state energy and spectrum for $\beta < 1$

Let $H_N^{\beta} = \sum_{p \in \Lambda^*} p^2 a_p^* a_p + \frac{\kappa}{2N} \sum_{p,q,r \in \Lambda^*} \widehat{V}(r/N^{\beta}) a_{p+r}^* a_{q-r}^* a_p a_q$ and consider $\mathcal{G}_N^{\beta} = T^* U H_N^{\beta} U^* T : \mathcal{F}_+^{\leq N} \to \mathcal{F}_+^{\leq N}$

Then $\mathcal{G}_{N}^{\beta} = 4\pi a_{N}^{\beta} N + \mathcal{H}_{N}^{\beta} + \mathcal{E}_{N}^{\beta}$ with $\pm \mathcal{E}_{N}^{\beta} \le \delta \mathcal{H}_{N}^{\beta} + C\kappa(\mathcal{N}_{+} + 1)$

Step 1. Low energy states can be written as $\psi_N = U^* T \xi_N$ with

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 $\mathcal{G}_{N}^{eta} = \mathcal{C}_{N}^{eta} + \mathcal{Q}_{N}^{eta} + \delta_{N}^{eta} \quad ext{with} \quad \pm \delta_{N}^{eta} \leq \mathcal{CN}^{-lpha}(\mathcal{N}_{+}+1)(\mathcal{H}_{N}^{eta}+1)$

Step 4. Diagonalization of the quadratic operator Q_N^β

Introduction & Main Results Excitations and Fock space Strategy of the proof Excitation Hamiltonian and condensatio Perspectives Ground state energy and spectrum

Quadratic Hamiltonian and diagonalization

We have $\mathcal{G}_{N}^{\beta} = C_{N}^{\beta} + \mathcal{Q}_{N}^{\beta} + \delta_{N}^{\beta}$ where $\pm \delta_{N}^{\beta} \leq CN^{-\alpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{\beta} + 1)$, $C_{N}^{\beta} = \frac{1}{2}(N-1)\kappa\widehat{V}(0)$ $+ \sum_{p\in\Lambda_{+}^{*}} \left[p^{2}\sinh^{2}\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh^{2}\eta_{p} + \sinh\eta_{p}\cosh\eta_{p}) + \frac{\kappa}{2N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}(p/N^{\beta})(\eta_{p}\eta_{q})\right]$

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Introduction & Main Results Excitations and Fock space Strategy of the proof Excitation Hamiltonian and condensatio Perspectives Ground state energy and spectrum

Quadratic Hamiltonian and diagonalization

We have
$$\mathcal{G}_{N}^{\beta} = \mathcal{C}_{N}^{\beta} + \mathcal{Q}_{N}^{\beta} + \delta_{N}^{\beta}$$
 where $\pm \delta_{N}^{\beta} \leq CN^{-\alpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{\beta} + 1)$,
 $\mathcal{C}_{N}^{\beta} = \frac{1}{2}(N-1)\kappa\widehat{V}(0)$
 $+\sum_{p\in\Lambda_{+}^{*}} \left[p^{2}\sinh^{2}\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh^{2}\eta_{p} + \sinh\eta_{p}\cosh\eta_{p}) + \frac{\kappa}{2N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{p}\eta_{q}\right]$
and $\mathcal{Q}_{N}^{\beta} = \sum_{p\in\Lambda_{+}^{*}} \left[F_{p}b_{p}^{*}b_{p} + \frac{1}{2}G_{p}(b_{p}^{*}b_{-p}^{*} + b_{p}b_{-p})\right]$ with
 $F_{p} = p^{2}(\sinh^{2}\eta_{p} + \cosh^{2}\eta_{p}) + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2}$
 $\mathcal{G}_{p} = 2p^{2}\sinh\eta_{p}\cosh\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2} + \frac{k}{N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{q}$

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Quadratic Hamiltonian and diagonalization

We have
$$\mathcal{G}_{N}^{\beta} = \mathcal{C}_{N}^{\beta} + \mathcal{Q}_{N}^{\beta} + \delta_{N}^{\beta}$$
 where $\pm \delta_{N}^{\beta} \leq CN^{-\alpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{\beta} + 1)$,
 $\mathcal{C}_{N}^{\beta} = \frac{1}{2}(N-1)\kappa\widehat{V}(0)$
 $+\sum_{p\in\Lambda_{+}^{*}} \left[p^{2}\sinh^{2}\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh^{2}\eta_{p} + \sinh\eta_{p}\cosh\eta_{p}) + \frac{\kappa}{2N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{p}\eta_{q}\right]$
and $\mathcal{Q}_{N}^{\beta} = \sum_{p\in\Lambda_{+}^{*}} \left[F_{p}b_{p}^{*}b_{p} + \frac{1}{2}G_{p}(b_{p}^{*}b_{-p}^{*} + b_{p}b_{-p})\right]$ with
 $F_{p} = p^{2}(\sinh^{2}\eta_{p} + \cosh^{2}\eta_{p}) + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2}$
 $\mathcal{G}_{p} = 2p^{2}\sinh\eta_{p}\cosh\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2} + \frac{k}{N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{q}$

The operator \mathcal{Q}_{N}^{β} may be diagonalized using a second generalized Bogoliubov transformation

$$S = \exp\left[\frac{1}{2}\sum_{p\in\Lambda^*_+} au_p(b^*_pb^*_{-p}-b_pb_-p)
ight], \ anh(2 au_p) = -rac{G_p}{F_p}$$

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Quadratic Hamiltonian and diagonalization

We have
$$\mathcal{G}_{N}^{\beta} = \mathcal{C}_{N}^{\beta} + \mathcal{Q}_{N}^{\beta} + \delta_{N}^{\beta}$$
 where $\pm \delta_{N}^{\beta} \leq CN^{-\alpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{\beta} + 1)$,
 $\mathcal{C}_{N}^{\beta} = \frac{1}{2}(N-1)\kappa\widehat{V}(0)$
 $+\sum_{p\in\Lambda_{+}^{*}}\left[p^{2}\sinh^{2}\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh^{2}\eta_{p} + \sinh\eta_{p}\cosh\eta_{p}) + \frac{\kappa}{2N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{p}\eta_{q}\right]$
and $\mathcal{Q}_{N}^{\beta} = \sum_{p\in\Lambda_{+}^{*}}\left[F_{p}b_{p}^{*}b_{p} + \frac{1}{2}G_{p}(b_{p}^{*}b_{-p}^{*} + b_{p}b_{-p})\right]$ with
 $F_{p} = p^{2}(\sinh^{2}\eta_{p} + \cosh^{2}\eta_{p}) + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2} \simeq p^{2}$
 $\mathcal{G}_{p} = 2p^{2}\sinh\eta_{p}\cosh\eta_{p} + \kappa\widehat{V}(p/N^{\beta})(\sinh\eta_{p} + \cosh\eta_{p})^{2} + \frac{k}{N}\sum_{q\in\Lambda_{+}^{*}}\widehat{V}((p-q)/N^{\beta})\eta_{q} \simeq \frac{1}{p^{2}}$

The operator $\mathcal{Q}_{\rm N}^\beta$ may be diagonalized using a second generalized Bogoliubov transformation

$$S = \exp\left[\frac{1}{2}\sum_{\rho \in \Lambda_{+}^{*}} \tau_{\rho} (b_{\rho}^{*} b_{-\rho}^{*} - b_{\rho} b_{-} \rho)\right], \ \tanh(2\tau_{\rho}) = -\frac{G_{\rho}}{F_{\rho}} \qquad |\tau_{\rho}| \simeq |\rho|^{-4}$$

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Excitations and Fock space Excitation Hamiltonian and condensation Ground state energy and spectrum

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Diagonal excitation Hamiltonian

Let $\mathcal{M}_{N}^{\beta} = S^{*}\mathcal{G}_{N}^{\beta}S : \mathcal{F}_{+}^{\leq N} \to \mathcal{F}_{+}^{\leq N}$, then $\mathcal{M}_{N}^{\beta} = \tilde{E}_{N}^{\beta} + \sum_{p \in \Lambda_{+}^{*}} \sqrt{|p|^{4} + 2|p|^{2}\kappa \widehat{V}(0)}a_{p}^{*}a_{p} + \rho_{N,\beta}$

with

$$ilde{E}_{N}^{eta} = 4\pi (N-1) a_{N}^{eta} + rac{1}{2} \sum_{p \in \Lambda_{+}^{*}} \left[-p^{2} - \kappa \widehat{V}(0) + \sqrt{|p|^{4} + 2|p|^{2}\kappa \widehat{V}(0)} + rac{\kappa \widehat{V}^{2}(0)}{2p^{2}}
ight]$$

and

$$ho_{\mathsf{N},eta} \leq \mathsf{CN}^{-lpha}(\mathcal{N}_++1)(\mathcal{H}^eta_\mathsf{N}+1).$$

Excitations and Fock space Excitation Hamiltonian and condensation Ground state energy and spectrum

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ight]$$

and

$$\rho_{\mathsf{N},\beta} \leq \mathsf{CN}^{-lpha}(\mathcal{N}_++1)(\mathcal{H}_{\mathsf{N}}^{\beta}+1).$$

We compare the eigenvalues of $\mathcal{M}_N^\beta - \tilde{E}_N^\beta$ (*i.e.* the eigenvalues of $\mathcal{H}_N^\beta - \tilde{E}_N^\beta$) with those of the quadratic operator

$$\mathcal{D} = \sum_{p \in \Lambda^*_+} \sqrt{|p|^4 + 2|p|^2 \kappa \widehat{V}(0)} a_p^* a_p$$

showing that below an energy $\boldsymbol{\zeta}$

$$|\lambda_m - ilde{\lambda}_m| \leq C N^{-lpha} (1 + \zeta^3)$$

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Extension to the Gross-Pitaevskii regime

For $\beta < 1$, on low energy states, $\mathcal{G}_N^\beta = T^* U H_N^\beta U^* T$ is dominated by its quadratic part:

$$\mathcal{G}_{N}^{eta} = \mathcal{C}_{N}^{eta} + \mathcal{Q}_{N}^{eta} + \mathcal{E}_{N}^{eta} \quad ext{with} \quad \pm \mathcal{E}_{N}^{eta} \leq \mathcal{CN}^{-lpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{eta} + 1)$$

For $\beta = 1$ instead \mathcal{G}_N^{β} contains cubic and quartic contributions of order one.

Extension to the Gross-Pitaevskii regime

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 $\mathcal{G}_{N}^{eta} = \mathcal{C}_{N}^{eta} + \mathcal{Q}_{N}^{eta} + \mathcal{E}_{N}^{eta} \quad ext{with} \quad \pm \mathcal{E}_{N}^{eta} \leq \mathcal{CN}^{-lpha}(\mathcal{N}_{+} + 1)(\mathcal{H}_{N}^{eta} + 1)$

For $\beta = 1$ instead \mathcal{G}_N^{β} contains cubic and quartic contributions of order one.

- Key fact: quasi-free states can only approximate the ground state of a dilute Bose gas up to an error of order one [Erdös-Schlein-Yau, '08], [Napiorkòwski-Reuvers-Solovej '15]
- Challenge: H_N^{GP} must be conjugated with more complicate maps; in fact an upper bound compatible with the Lee-Huang-Yang prediction has been obtained by using a trial state containing quadratic and cubic correlations [Yau-Yin, '13]

Perspectives

- \blacktriangleright Proof of condensation in the Gross-Pitaevskii regime without the smallness assumption on $\kappa>0$
- Extend the results to non-translation-invariant bosonic systems trapped by confining external fields
- Ground state energy and excitation spectrum in the Gross-Pitaevskii regime
- ▶ ...
- Validity of Bogoliubov theory for dilute Bose gases in the thermodynamic limit

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