

**BLOW-UP OF COMPLEX SOLUTIONS
OF THE 3-d NAVIER-STOKES EQUATIONS
AND BEHAVIOR OF RELATED REAL SOLUTIONS**

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1. INTRODUCTION

We consider the three-dimensional incompressible Navier-Stokes (NS) equations, in absence of boundary conditions and external forces:

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$$\frac{\partial \mathbf{u}}{\partial t} + \sum_{j=1}^3 u_j \frac{\partial}{\partial x_j} \mathbf{u} = \Delta \mathbf{u} - \nabla p, \quad \mathbf{x} = (x_1, x_2, x_3) \in \mathbb{R}^3.$$

$$\nabla \cdot \mathbf{u} = 0, \quad \mathbf{u}(\cdot, 0) = \mathbf{u}_0.$$

$\mathbf{u} : \mathbb{R}^3 \times [0, \infty) \rightarrow \mathbb{R}^3$ is the velocity field, p is the pressure and we assume for the viscosity $\nu = 1$ (always possible by rescaling).

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In spite of considerable progress, it is still unknown whether there are initial conditions for which the solution becomes singular in a finite time (global regularity problem).

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where a blowup is proved for a modified NS equations, which preserve the the *energy identity*

$$E(t) + \int_0^t S(\tau) d\tau = E(0),$$

$E(t)$ is the total energy and $S(t)$ the total enstrophy

$$E(t) = \frac{1}{2} \int_{\mathbb{R}^3} |\mathbf{u}(\mathbf{x}, t)|^2 d\mathbf{x}, \quad S(t) = \int_{\mathbb{R}^3} |\nabla \mathbf{u}(\mathbf{x}, t)|^2 d\mathbf{x}.$$

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We will also report results from computer simulations on the behavior of a class of related real solutions of the NS equations.

The starting point is a reformulation of the 3-d NS equations into a convolution integral equation, by means of the modified Fourier transform

$$\mathbf{v}(\mathbf{k}, t) = \frac{i}{(2\pi)^3} \int_{\mathbb{R}^3} \mathbf{u}(\mathbf{x}, t) e^{i(\mathbf{k}, \mathbf{x})} d\mathbf{x}.$$

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The NS equations go, by a Duhamel formula, into

$$\mathbf{v}(\mathbf{k}, t) = e^{-tk^2} \mathbf{v}_0(\mathbf{k}) + \int_0^t e^{-(t-s)k^2} ds \int_{\mathbb{R}^3} \langle \mathbf{v}(\mathbf{k} - \mathbf{k}', s), \mathbf{k} \rangle P_{\mathbf{k}} \mathbf{v}(\mathbf{k}', s) d\mathbf{k}',$$

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where $\mathbf{v}_0(\mathbf{k}) = \mathbf{v}(\mathbf{k}, 0)$ is the initial data and $P_{\mathbf{k}}$ the orthogonal projector expressing incompressibility:

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The integral equation is considered for real functions $\mathbf{v}(\mathbf{k}, t)$. The antitransform $\mathbf{u}(\mathbf{x}, t)$ is complex in general.

If however $\mathbf{v}(\mathbf{k}, t)$ is odd in \mathbf{k} then $\mathbf{u}(\mathbf{x}, t)$ is real and odd in \mathbf{x} .

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$$E(t) = \frac{(2\pi)^3}{2} \int_{\mathbb{R}^3} |\mathbf{v}(\mathbf{k}, t)|^2 d\mathbf{k}, \sim \frac{C_E^{(\alpha)}}{(\tau - t)^{\beta_\alpha}},$$

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where $\beta_I = 1$, $\beta_{II} = \frac{1}{2}$ and $C_E^{(\alpha)}$, $C_S^{(\alpha)}$ are constants.

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- ii) For large k_3 , the velocity field is approximately orthogonal to the k_3 -axis and its direction is approximately radial;
- iii) The solutions converge point-wise in \mathbf{k} -space as $t \uparrow \tau$, while $E(t)$ and $S(t)$ diverge as inverse powers of $\tau - t$.

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Computer simulations for the Li-Sinai solutions were first performed by Arnol'd and Khokhlov in 2009. However, due to computational limitations, they could only get a qualitative description of the blow-up.

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- i) the blow-up takes place in a very short time $\approx 10^{-5}$ time units (t.u), so that the time step has to be small;
- ii) the support of the solution goes away in the k_3 direction as $t \uparrow \tau$.

3. Li-Sinai solutions: simulations.

According to a preliminary screening on a sample of “good” initial data it appears that the “best” initial data are

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where $\mathbf{k}^{(0)} = (0, 0, a)$, $g^{(3)}$ is the standard gaussian on \mathbb{R}^3 , \mathbb{I}_D is the indicator function of the set $D = \{\mathbf{k} : |\mathbf{k}| \leq 17\}$, and in all cases $a \geq 20$.

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The positive constant K controls the initial energy.

We get solutions of type *I* for the initial data \mathbf{v}_0^+ , and of type *II* (alternating signs) for \mathbf{v}_0^- .

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The mesh R in \mathbf{k} -space is taken with step 1:

$$R = [-127, 127] \times [-127, 127] \times [-19, L] \subset \mathbb{Z}^3,$$

where the critical parameter L takes the values 2028, 2528, 3028.

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where the critical parameter L takes the values 2028, 2528, 3028. Control simulations with finer meshes confirm stability.

3. Li-Sinai solutions: simulations.

For the description of the behavior we use the energy and enstrophy marginals in \mathbf{k} along the main axis

$$E_3(k_3, t) = \frac{1}{2} \int_{\mathbb{R} \times \mathbb{R}} dk_1 dk_2 |\mathbf{v}(\mathbf{k}, t)|^2,$$

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and the analogous marginals $E_j(k_j, t)$, $S_j(k_j, t)$, $j = 1, 2$.

The marginals in \mathbf{x} -space are denoted $\tilde{E}_j(x_j, t)$, $\tilde{S}_j(x_j, t)$, $j = 1, 2, 3$, with

$$\tilde{E}_3(k_3, t) = \frac{1}{2} \int_{\mathbb{R} \times \mathbb{R}} dx_1 dx_2 |\mathbf{u}(\mathbf{x}, t)|^2,$$

$$\tilde{S}_3(k_3, t) = \int_{\mathbb{R} \times \mathbb{R}} dx_1 dx_2 |\nabla \mathbf{u}(\mathbf{x}, t)|^2,$$

etc.

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- The total enstrophy $S(t)$ starts growing much earlier than the total energy $E(t)$.
- The solution of type I blow up much earlier than the solutions of type II with the same initial energy and same a .

3. Li-Sinai solutions: simulations. The fixed point $\mathbf{H}^{(0)}$.

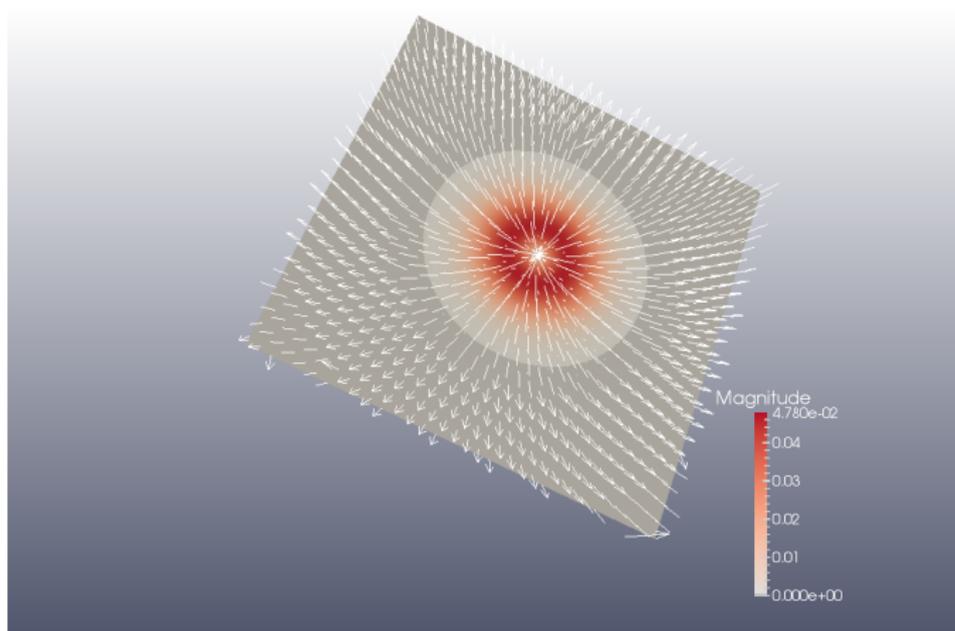


Figure 1: Type II, $a = 20$, $E_0 = 5 \times 10^4$. The arrows indicate the direction of $\mathbf{v}(\mathbf{k}, t)$ on a regular point lattice on a section of the plane $k_3 = 100$ with sides of length 100, $t = 1521 \times 10^{-7}$. Simulation range $k_3 \in [-19, 2528]$. Magnitude refers to $|\mathbf{v}(\mathbf{k}, t)|$. In the grey external region $|\mathbf{v}(\mathbf{k}, t)| < 10^{-6}$.

3. Li-Sinai solutions: simulations. Oscillations type I.

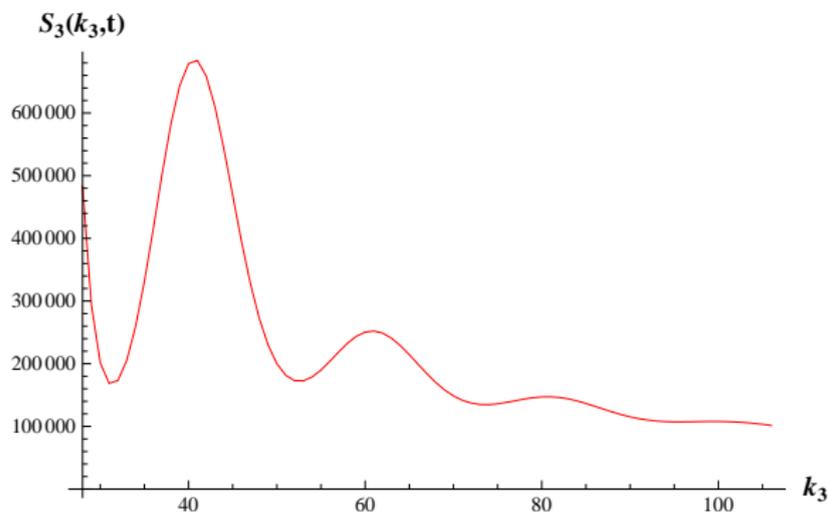


Figure 2: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Enstrophy marginal density $S_3(k_3, t)$ at the beginning of the blow-up. $t = 900 \times 10^{-7}$. Simulation range $k_3 \in [-19, 2528]$.

3. Li-Sinai solutions: simulations. Oscillations type II.

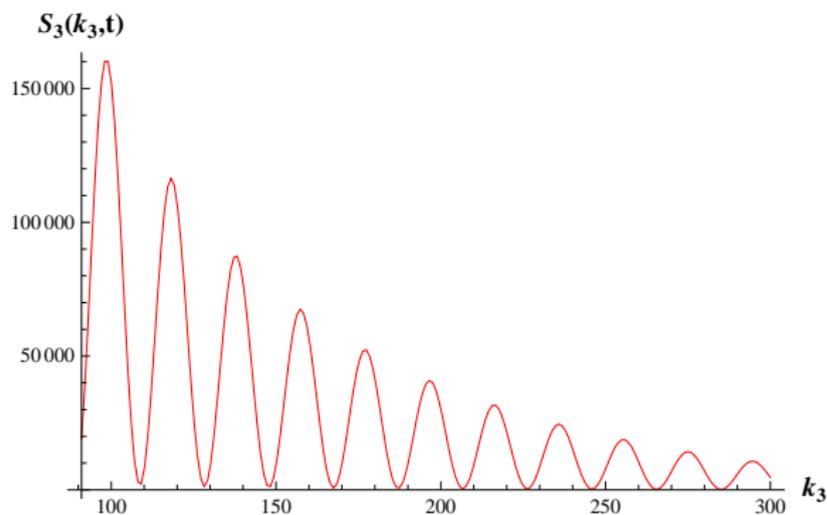


Figure 3: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Entrophy marginal density $S_3(k_3, t)$ at the beginning of the blow-up. $t = 1125 \times 10^{-7}$. The zeroes are approximately periodic with period a . Simulation range $k_3 \in [-19, 2528]$.

3. Li-Sinai solutions: simulations. Oscillations type II.

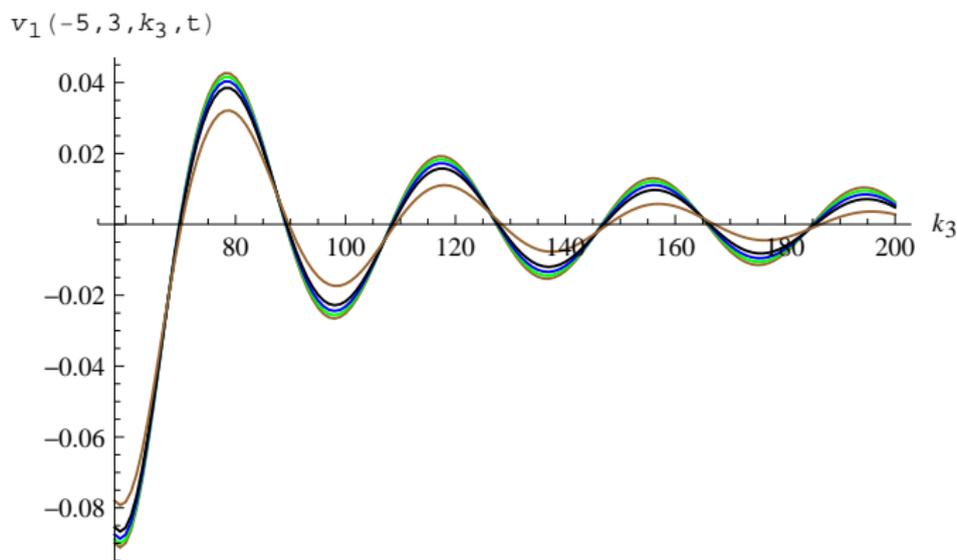


Figure 4: Type II, $a = 20$, $E_0 = 5 \times 10^4$. $\mathbf{v}_1(\mathbf{k}, t)$ vs k_3 for k_1, k_2 fixed, at the times $t \times 10^7 = 1342, 1500, 1544, 1574, 1600$. The amplitudes increase as time grows, and tend to a limit. Simulation range $k_3 \in [-19, 3028]$.

3. Li-Sinai solutions: simulations. Type I: compared growth.

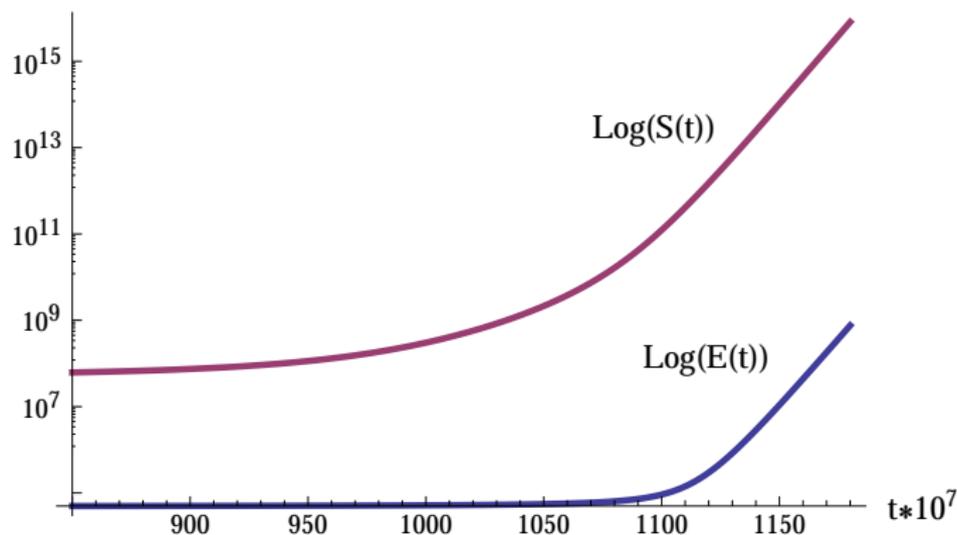


Figure 5: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Compared growth of the total entropy $S(t)$ and the total energy $E(t)$. Simulation range $k_3 \in [-19, 2528]$.

3. Li-Sinai solutions: simulations. Type II: compared growth

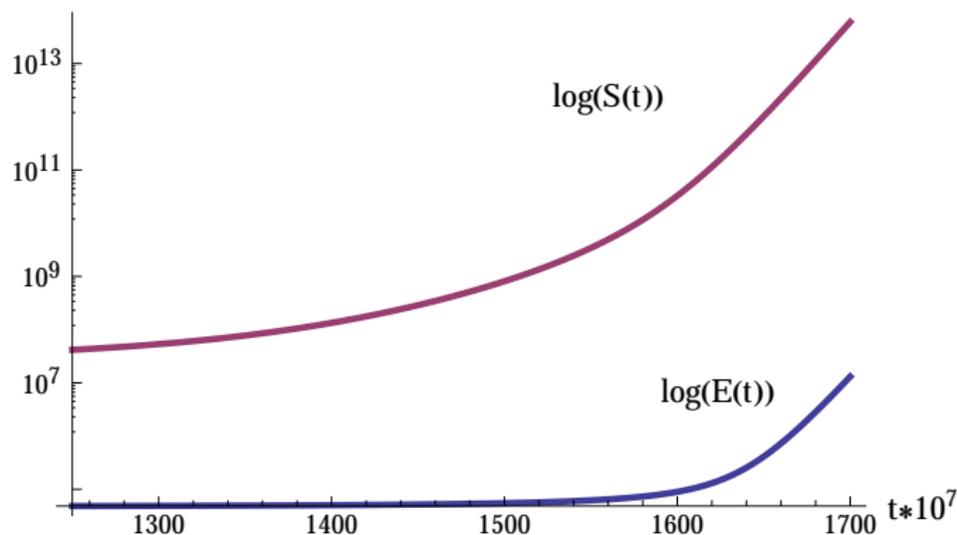


Figure 6: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Compared growth of the total entropy $S(t)$ and the total energy $E(t)$. Simulation range $k_3 \in [-19, 2528]$.

3. Li-Sinai solutions: simulations. Enstrophy distribution

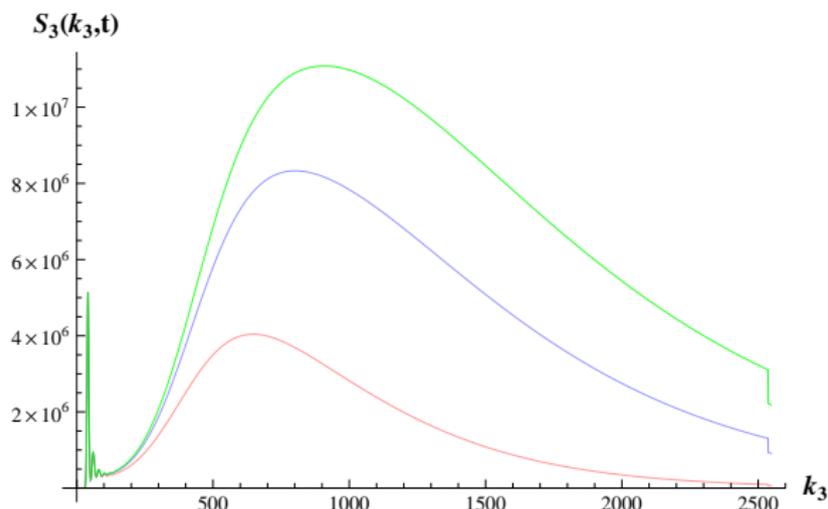


Figure 7: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal enstrophy density $S_3(k_3, t)$ on the whole simulation range $-19 \leq k_3 \leq 2528$, at $t \cdot 10^7 = 1060, 1075, 1080$.

3. Li-Sinai solutions: simulations. Enstrophy distribution

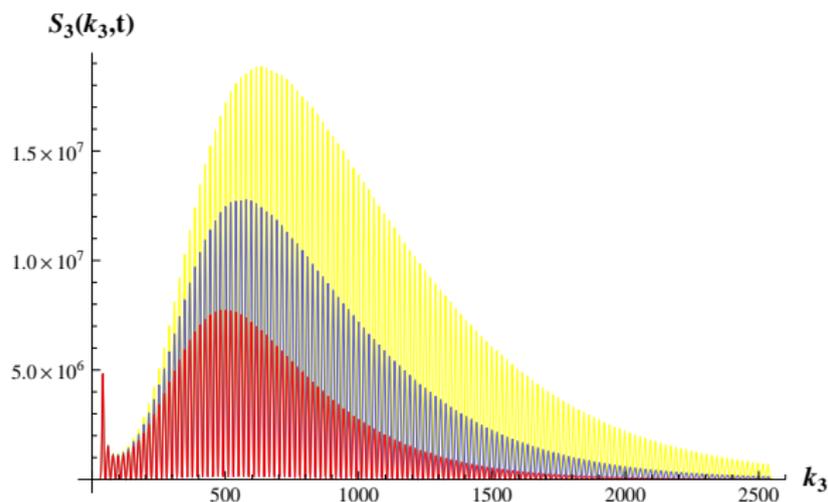


Figure 8: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal enstrophy density $S_3(k_3, t)$ on the whole simulation range $-19 \leq k_3 \leq 2528$, at $t \cdot 10^7 = 1521, 1544, 1560$.

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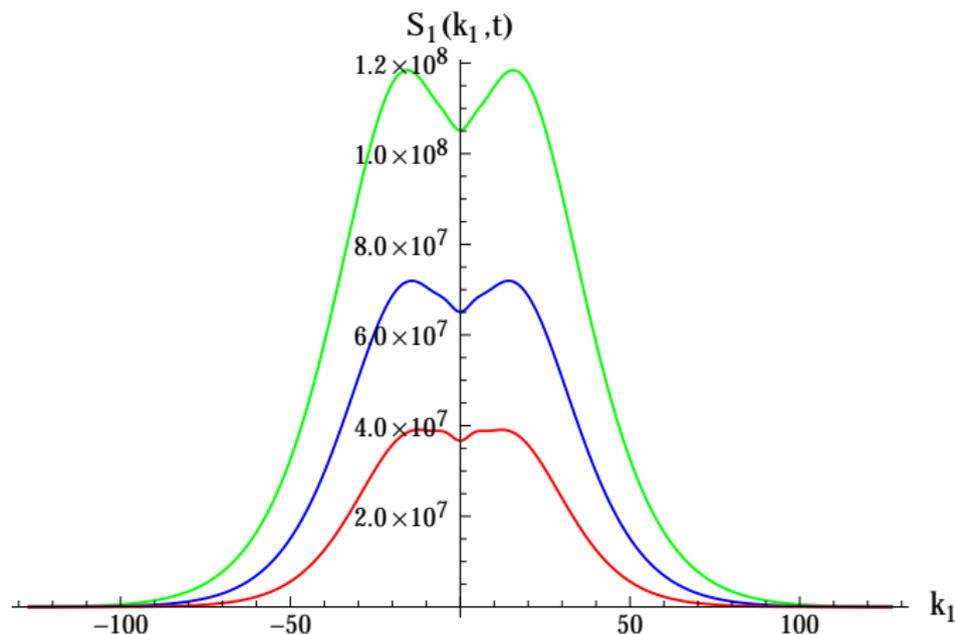


Figure 9: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal enstrophy density $S_1(k_1, t)$ at $t \cdot 10^7 = 1521, 1544, 1560$. Simulation range $k_3 \in [-19, 2528]$.

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3. Li-Sinai solutions: simulations. Decay rate.

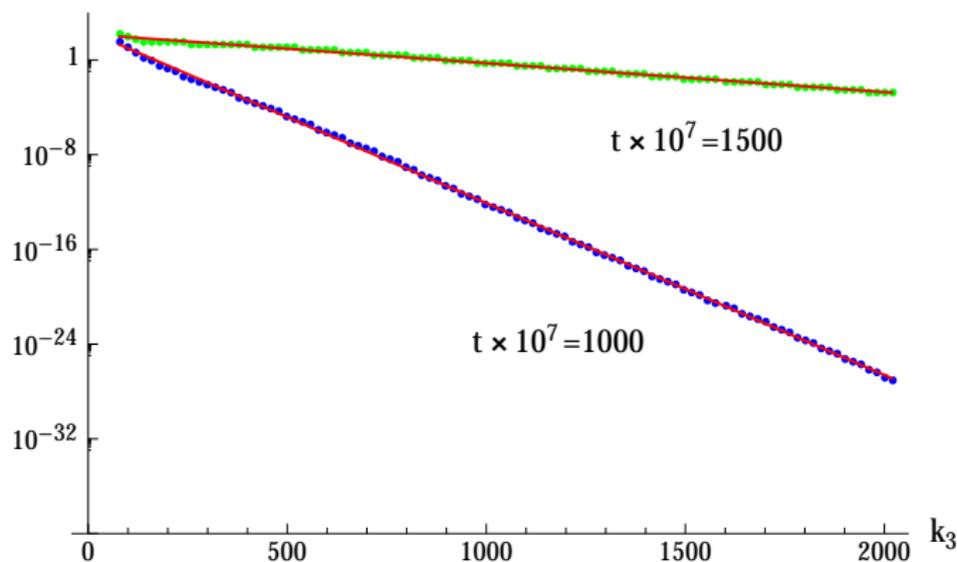


Figure 10: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Plot of $\log(E_3(k_3, t))$, where E_3 is the marginal energy density along the k_3 -axis for $k_3 \geq 400$ at two different times. The dots represent the local maxima of the oscillations of $E_3(k_3, t)$. Simulation range $k_3 \in [-19, 2028]$.

3. Li-Sinai solutions: simulations. Critical time.

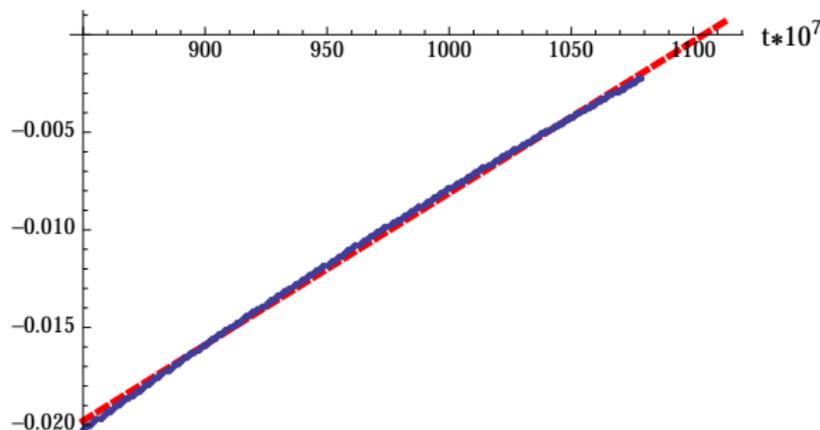


Figure 11: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Exponential decay rate for the marginal density $E_3(k_3, t)$, taken for $k_3 \geq 400$, vs magnified time $t \times 10^7$, with linear regression (dashed line). Simulation range $k_3 \in [-19, 2528]$.

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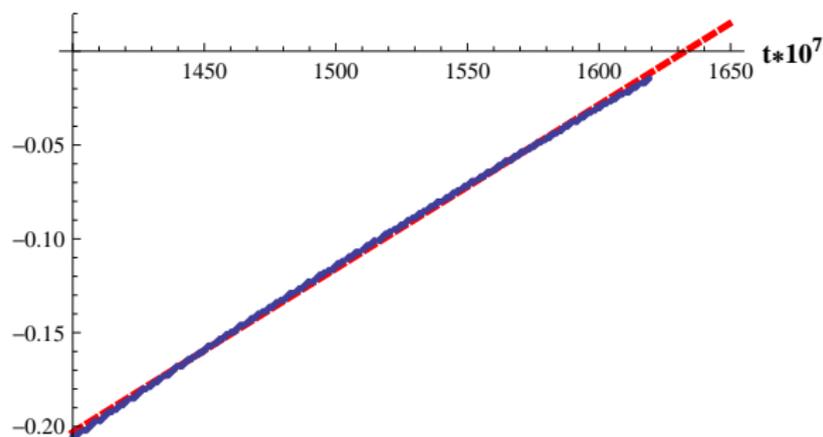


Figure 12: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Exponential decay rate for the marginal density $E_3(k_3, t)$, taken for $k_3 \geq 400$, vs magnified time $t \times 10^7$, with linear regression (dashed line). Simulation range $k_3 \in [-19, 2528]$.

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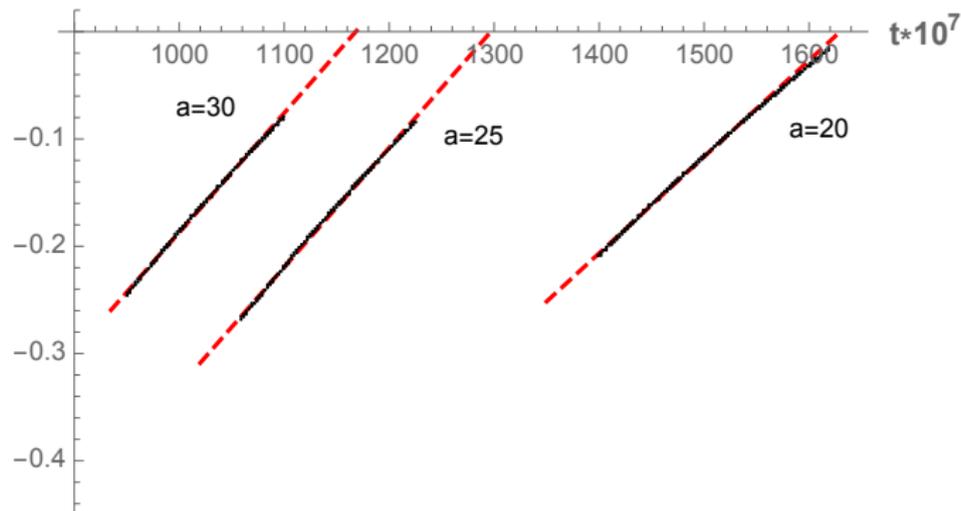


Figure 13: Type II, $E_0 = 5 \times 10^4$. Behavior of the exponential decay rates of $E_3(k_3, t)$ vs. magnified time $t \times 10^7$ for $a = 20, 25, 30$. Simulation range $k_3 \in [-19, 3028]$

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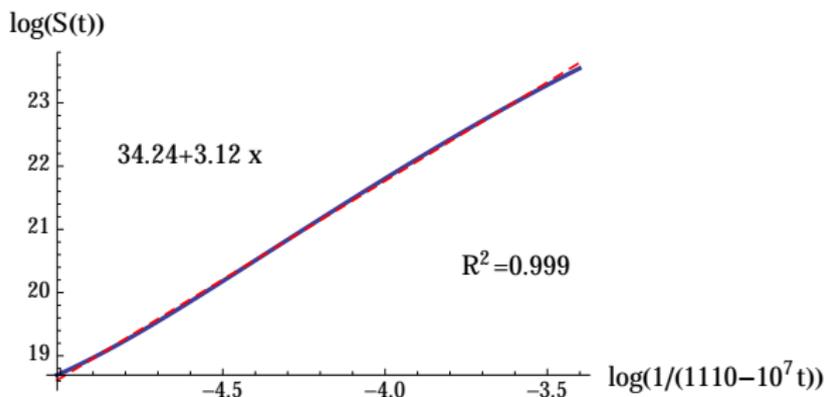


Figure 14: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Log-plot of the total enstrophy $S(t)$ vs $\log \frac{1}{\tau_* - t}$, at times near the blow-up, with linear regression (dashed line, the prediction for the slope is 3.0). Simulation range $k_3 \in [-19, 2528]$.

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$$\mathbf{x}_{\pm}^{(0)} = (0, 0, \pm x_3^{(0)}), \quad x_3^{(0)} \approx \frac{\pi}{a}$$

for the solutions of type II.

3. Li-Sinai solutions: simulations. x-space.

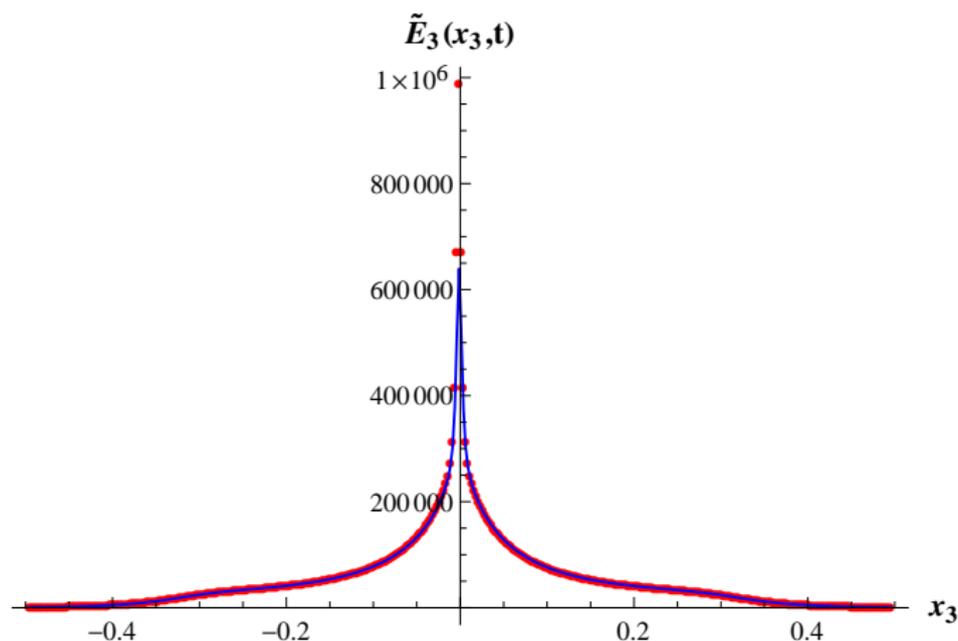


Figure 15: Type I, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal energy density $\tilde{E}_3(x_3, t)$ at $t \cdot 10^7 = 1021$ (dotted line) and $t \cdot 10^7 = 1044$ (continuous line). Simulation range $k_3 \in [-19, 2528]$.

3. Li-Sinai solutions: simulations. x-space.

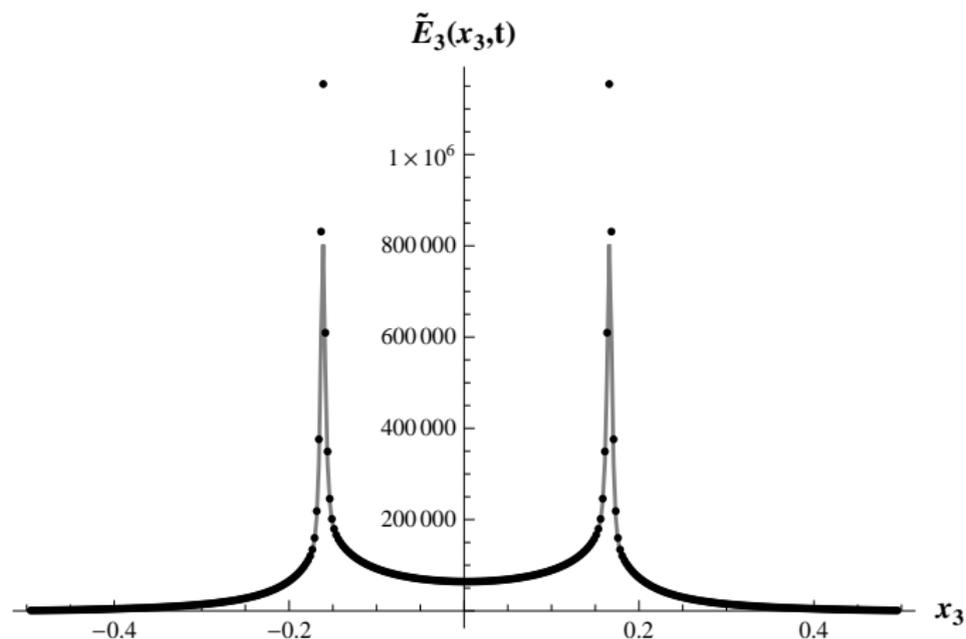


Figure 16: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal energy density $\tilde{E}_3(x_3, t)$ at $t \cdot 10^7 = 1521$ (continuous line) and $t \cdot 10^7 = 1544$ (dotted line). Simulation range $k_3 \in [-19, 2528]$

3. Li-Sinai solutions: simulations. \mathbf{X} -space.

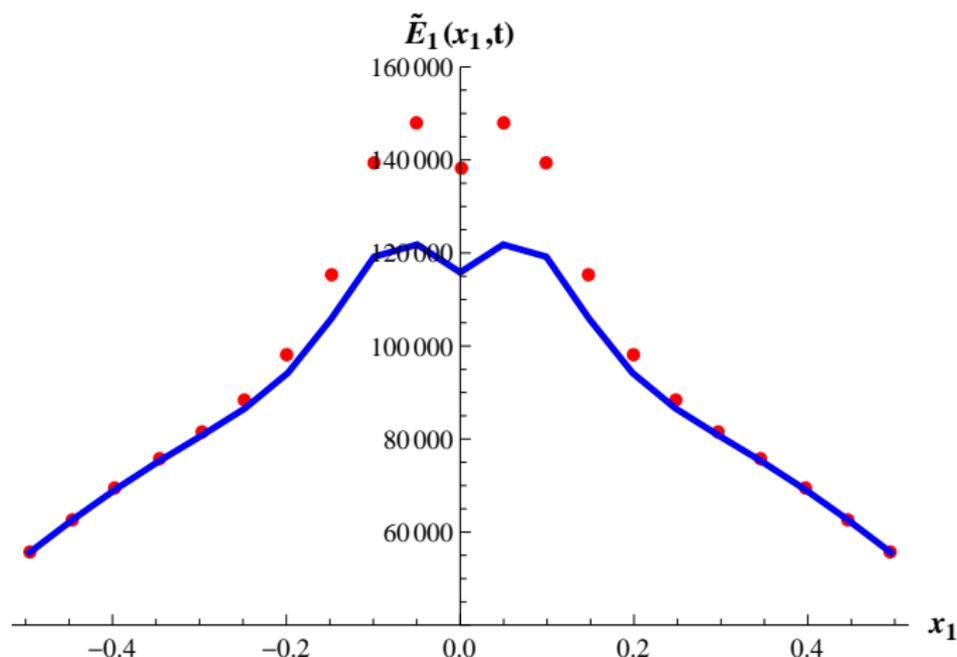


Figure 17: Type II, $a = 20$, $E_0 = 5 \times 10^4$. Plot of the marginal energy density $\tilde{E}_1(x_1, t)$ at $t \cdot 10^7 = 1521$ (continuous line), and $t \cdot 10^7 = 1544$ (dotted line). Simulation range $k_3 \in [-19, 2528]$.

4. REAL SOLUTIONS.

Assuming antisymmetric initial data $A\mathbf{v}_0(\mathbf{k})$

$$\mathbf{v}_0(\mathbf{k}) = \mathbf{v}_0^\pm(\mathbf{k}) - \mathbf{v}_0^\pm(-\mathbf{k}),$$

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- The support in \mathbf{k} -space restricted to a thin (double) cone around the main axis,
- The solution shows modulated oscillations along the k_3 -axis, as the complex solutions of type *II*.

The simulation show, if the initial energy is large enough, that:

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- The total enstrophy $\mathcal{S}(t)$ grows, reaches a maximum at a time t_* (which for a fixed depends on E_0), then falls;

4. Real solutions.

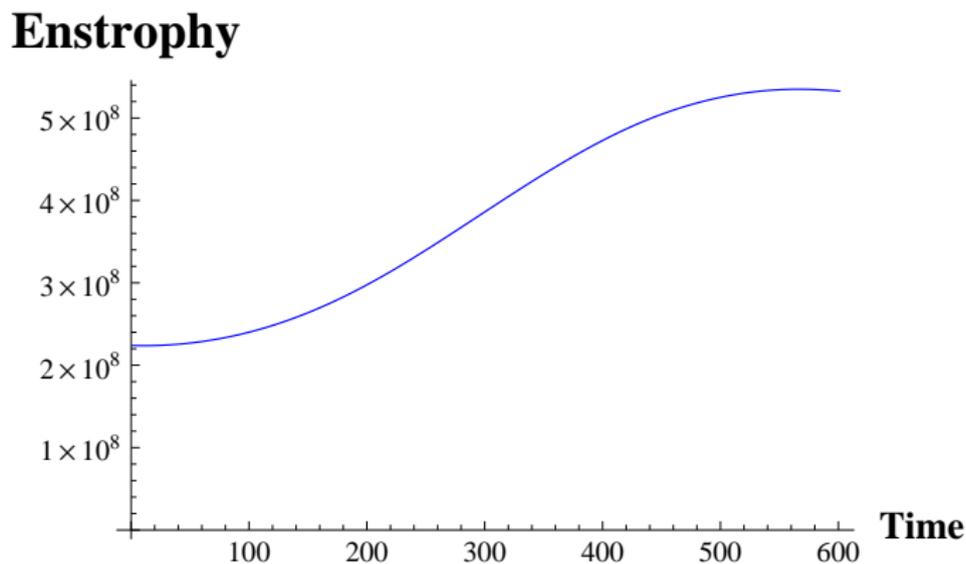


Figure 18: Plot of the total enstrophy $S(t)$ vs. magnified time $t \times 3.2 \times 10^7$. Initial energy $\bar{E}_0 = 2.5 \times 10^4$, $a = 20$.

- The large k_3 modes fall off exponentially fast, with a rate decreasing in absolute value up to $t \approx t_*$, then stays constant.

4. Real solutions.

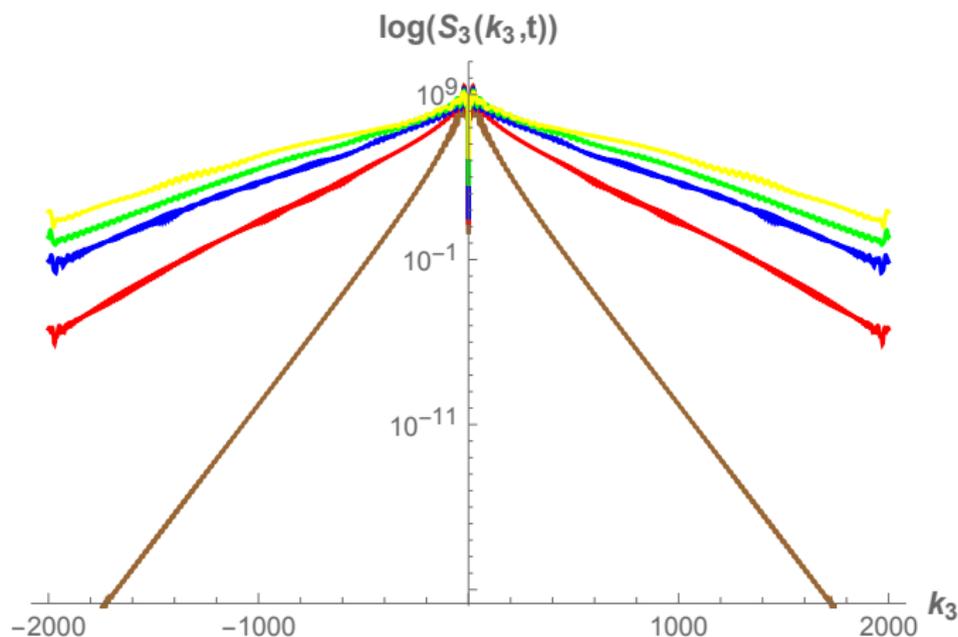


Figure 19: Logarithmic plot of the marginal density $\tilde{E}_3(k_3, t)$ at the (magnified) times $t \times 3.2 \times 10^7 = 100, 200, 300, 400, 500$. Initial energy $\bar{E}_0 = 2.5 \times 10^4$, $a = 20$.

- At the time t_* , the energy and the enstrophy concentrate in two (pseudo)-spikes, close to the singularities of the complex solution of type // with the same a .

4. Real solutions.

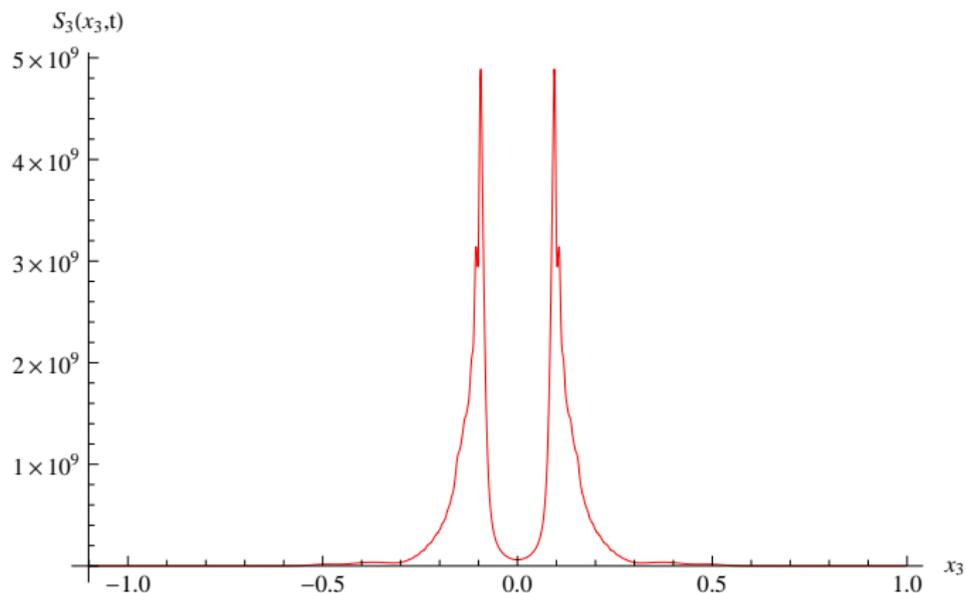


Figure 20: Plot of the marginal density $\tilde{S}_3(x_3, t)$ at $t = 1.27 \times 10^{-5}$. Initial energy $\bar{E}_0 = 2.5 \times 10^4$, $a = 20$.