

# Dynamical description of gravitational collapse

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(a joint work with B. Schlein)

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# Many-body system of gravitating particles

$$H = \sum_{i=1}^N \left( \sqrt{m^2 c^4 + c^2 p_i^2} - mc^2 \right) - \sum_{i < j}^N \frac{Gm^2}{|x_i - x_j|}$$
$$p = i\hbar\nabla$$

on  $L^2_{\text{sym}}(\mathbb{R}^{3N}) = \bigotimes_{i=1}^N L^2(\mathbb{R}^3)$  (BOSONS)

or  $L^2_{\text{asym}}(\mathbb{R}^{3N}) = \bigwedge_{i=1}^N L^2(\mathbb{R}^3)$  (FERMIONS)

$$G \simeq 6.673 \cdot 10^{-11} \text{ (S.I.)},$$

$$N \approx 10^{57} \text{ (for neutron stars)}$$

# Boson star: Stability and scaling

[Lieb-Yau 1987]

$$H_N = \sum_{i=1}^N \sqrt{1 - \Delta_i} - \frac{G}{N} \sum_{i < j}^N \frac{1}{|x_i - x_j|}$$

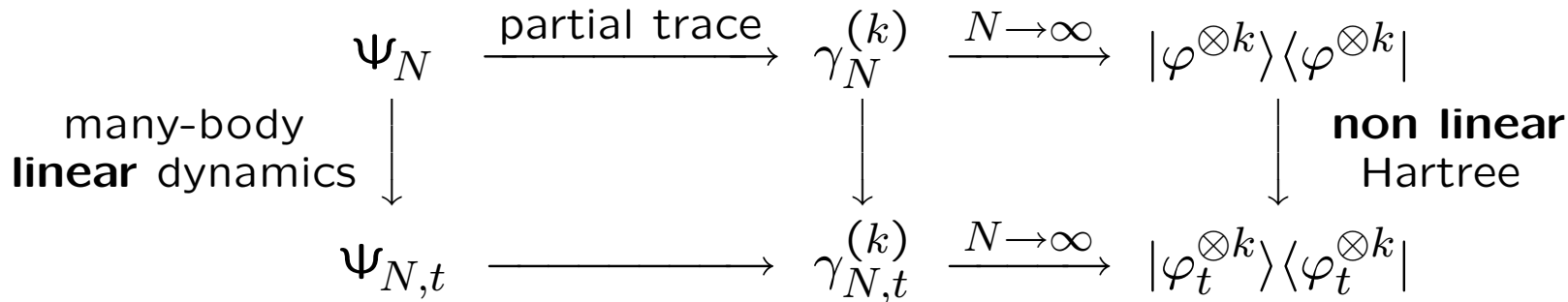
$$\frac{\inf \sigma(H_N)}{N} \xrightarrow{N \rightarrow \infty} \begin{cases} > -\infty & \text{if } G < G_{\text{crit}} \\ = -\infty & \text{if } G > G_{\text{crit}} \end{cases}$$

(gravitational collapse)

$$(1.3 \simeq \frac{4}{\pi} \leq G_{\text{crit}} \lesssim 2.7)$$

# Dynamical analysis ( $G < G_{\text{crit}}$ )

$$i\partial_t \Psi_{N,t} = H_N \Psi_{N,t}$$



effective dynamics

$$i\partial_t \varphi_t = \sqrt{1 - \Delta} \varphi_t - \left( \frac{G}{|x|} * |\varphi_t|^2 \right) \varphi_t$$

global well-posedness [Lenzmann, 2005]

$$\text{Tr} \left| \gamma_{N,t}^{(1)} - |\varphi_t\rangle\langle\varphi_t| \right| \xrightarrow{N \rightarrow \infty} 0 \quad \forall t \in \mathbb{R}$$

[Elgart-Schlein, 2005]

$$\text{Tr} \left| \gamma_{N,t}^{(1)} - |\varphi_t\rangle\langle\varphi_t| \right| \lesssim \frac{e^{ct}}{\sqrt{N}}$$

[Knowles-Pickl, 2009]

and also: [Erdős-Yau, 2000], [Rodnianski-Schlein, 2007]

## The super-critical regime $G > G_{\text{crit}}$

⇒ blow-up in Hartree eqn.:  $\|\varphi_t\|_{H^{1/2}} \xrightarrow{t \rightarrow T_{\text{blow-up}}} +\infty$   
[Fröhlich-Lenzmann, 2005]

⇒ does this **blow-up** describe the **collapse** of boson stars?

i.e., can the **many-body dynamics** be approximated by the **Hartree dynamics** also in the *super-critical* regime?  
(this was an UNPROVED assumption until today!)

our analysis ⇒ affirmative answer

# Collapse and loss of regularity [M-Schlein, 2010]

$$\text{IF } H_N^{(\alpha)} = \sum_{i=1}^N \sqrt{1 - \Delta_i} - \frac{G}{N} \sum_{i < j}^N \frac{1}{|x_i - x_j| + \alpha_N} \quad \begin{array}{l} G > G_{\text{crit}} \\ \alpha_N \sim N^{-k} \end{array}$$

$$\Psi_N = \varphi^{\otimes N} \quad \rightsquigarrow \quad \Psi_{N,t}^{(\alpha)} \quad \rightsquigarrow \quad \tilde{\gamma}_{N,t}^{(1)}$$

$$\varphi \in H^2(\mathbb{R}^3) \text{ s.t. } \sup_{|t| \leq T} \|\varphi_t\|_{H^{1/2}} =: \kappa(T) < \infty \text{ and } \kappa(T) \xrightarrow{T \rightarrow T_{\text{blow-up}}} +\infty$$

$$\text{THEN } \text{Tr} \left| (1 - \Delta)^{1/4} \left( \tilde{\gamma}_{N,t}^{(1)} - |\varphi_t\rangle\langle\varphi_t| \right) (1 - \Delta)^{1/4} \right| \lesssim \frac{1}{N^{1/4}} + \sqrt{\alpha_N}$$

$\forall |t| \leq T$  (the first **effective** control **in energy** ever)

**WHENCE**  $\exists N(t) \rightarrow \infty$ , as  $t \rightarrow T_{\text{blow-up}}$ , such that

$$\left\| (1 - \Delta_1)^{\frac{1}{4}} \Psi_{N(t),t} \right\|_{L^2(\mathbb{R}^{3N})}^2 = \text{Tr} (1 - \Delta)^{1/2} \tilde{\gamma}_{N(t),t}^{(1)} \approx \|\varphi_t\|_{H^{1/2}}^2 \xrightarrow{t \rightarrow T_{\text{blow-up}}} \infty$$

**EXTRAS**

The strategy:

$$\begin{aligned}
 & \left| \text{Tr} \left[ (1 - \Delta)^{1/4} \left( \tilde{\gamma}_{N,t}^{(1)} - |\varphi_t\rangle\langle\varphi_t| \right) (1 - \Delta)^{1/4} \right] \right| \\
 & \leq \left| \text{Tr} \left[ (1 - \Delta)^{1/4} \left( \tilde{\gamma}_{N,t}^{(1)} - |\varphi_t^{(\alpha)}\rangle\langle\varphi_t^{(\alpha)}| \right) (1 - \Delta)^{1/4} \right] \right| \\
 & \quad + \left\| \varphi_t - \varphi_t^{(\alpha)} \right\|_{H^{1/2}}
 \end{aligned}$$

$\searrow \lesssim \alpha^{1/2} \text{ (I)}$ 
 $\searrow \lesssim N^{-1/4} \text{ (II)}$

$$\Rightarrow \text{Tr} (1 - \Delta)^{1/2} \tilde{\gamma}_{N(T),T}^{(1)} \approx \|\varphi_t\|_{H^{1/2}}^2 \rightarrow +\infty$$

as  $T \rightarrow T_{\text{blow up}}$

# I. Closeness between Hartree and Hartree<sup>( $\alpha$ )</sup>

$$i\partial_t\varphi_t = \sqrt{1 - \Delta}\varphi_t - \left(\frac{G}{|x|} * |\varphi_t|^2\right)\varphi_t$$

$$i\partial_t\varphi_t^{(\alpha)} = \sqrt{1 - \Delta}\varphi_t^{(\alpha)} - \left(\frac{G}{|x| + \alpha} * |\varphi_t^{(\alpha)}|^2\right)\varphi_t^{(\alpha)}$$

$$\varphi_t|_{t=0} = \varphi_t^{(\alpha)}|_{t=0} = \varphi \in H^2, \quad G \in \mathbb{R}$$

and assuming only  $\sup_{|t| \leq T} \|\varphi_t\|_{H^{1/2}} = \kappa < +\infty$

$$\Rightarrow \|\varphi_t - \varphi_t^{(\alpha)}\|_{H^{1/2}} \lesssim \kappa \sqrt{\alpha} \quad \forall |t| \leq T$$

## II. Fluctuations in Fock's space

$$\{\Psi_{n,t}\}_n^\infty =: \Phi_t \in \mathcal{F} = \bigoplus_{n \geq 0} L_{\text{sym}}^2(\mathbb{R}^{3n})$$

$$\{H_n\}_n^\infty \leftrightarrow \mathcal{H}_N \text{ acting on } \mathcal{F}, \quad \Phi_t = e^{-it\mathcal{H}_N} \Phi$$

$$\mathcal{H}_N = \int dx (1 - \Delta_x)^{1/4} a_x^* (1 - \Delta_x)^{1/4} a_x \quad (=: \mathcal{K})$$

$$- \frac{G}{2N} \int dx dy \frac{1}{|x - y| + \alpha} a_x^* a_y^* a_y a_x \quad (=: \mathcal{V})$$

$$\gamma_\Phi^{(1)}(x, y) = \frac{1}{\langle \Phi, \mathcal{N} \Phi \rangle} \langle \Phi, a_x^* a_y \Phi \rangle \quad \mathcal{N} = \int dx a_x^* a_x$$

$$\tilde{\gamma}_{N,t}^{(1)}(x, y) - \bar{\varphi}_t^{(\alpha)}(x) \varphi_t^{(\alpha)}(y) = \text{fluctuations in } \mathcal{F} \text{ with non-fixed number of particles}$$

## Expansion in coherent states

**initial datum:**  $\{0, \dots, 0, \varphi^{\otimes N}, 0, 0, \dots\} = \frac{(a^*(\varphi))^N}{\sqrt{N!}} \Omega \in \mathcal{F}$

$$= \underbrace{\frac{\sqrt{N!}}{N^{N/2} e^{-N/2}}}_{\simeq N^{1/4}} \int_0^{2\pi} \frac{d\theta}{2\pi} e^{i\theta N} W(e^{-i\theta} \sqrt{N} \varphi) \Omega$$

**coherent state**  $:= W(f) \Omega = e^{-\frac{\|f\|_2^2}{2}} \sum_{n \geq 0} \frac{(a^*(\varphi))^n}{\sqrt{n!}} \Omega$

$$\Rightarrow \int dx dy \left| (1 - \Delta_x)^{1/4} (1 - \Delta_y)^{1/4} \left( \tilde{\gamma}_{N,t}^{(1)}(x, y) - \varphi_t^{(\alpha)}(x) \bar{\varphi}_t^{(\alpha)}(y) \right) \right|^2$$

$$\leq \frac{\|\varphi_t^{(\alpha)}\|_{H^{1/2}}}{\sqrt{N}} \int_0^{2\pi} d\theta \langle \mathcal{U}_N^\theta(t) \Omega, \kappa \mathcal{U}_N^\theta(t) \Omega \rangle \lesssim \frac{1}{\sqrt{N}}$$

$\mathcal{U}_N^\theta = W^*(e^{-i\theta} \sqrt{N} \varphi_t) e^{-it\mathcal{H}_N} W(e^{-i\theta} \sqrt{N} \varphi)$  **dynamics of fluctuations**

⇒ Thus, the **core** is the (**challenging**) estimate

$$\langle \mathcal{U}_N^\theta(t)\Omega, \kappa \mathcal{U}_N^\theta(t)\Omega \rangle \leq C(\|\varphi_t^{(\alpha)}\|_{H^2}) \lesssim 1$$

(a' la Rodnianski-Schlein,  
more difficult:  $(-\Delta) \mapsto (1 - \Delta)^{1/2}$ )

(higher regularity)

⇒ the result is:  $\forall |t| \leq T \quad (< T_{\text{blow-up}})$

$$\left\| (1 - \Delta)^{1/4} \left( \tilde{\gamma}_{N,t}^{(1)} - |\varphi_t^{(\alpha)}\rangle\langle\varphi_t^{(\alpha)}| \right) (1 - \Delta)^{1/4} \right\|_{\text{HS}} \leq \frac{C(\kappa, T)}{N^{1/4}}$$

⇒ last, (a trick with density matrices)

**Hilbert-Schmidt** control  $\Rightarrow$  **trace class** control